



01-23-06

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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/759,593
Filing Date	01/16/2004
First Named Inventor	CAMPBELL, Kevin
Art Unit	3634
Examiner Name	Mah, Chuck Y.
Attorney Docket Number	49942-296293

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): See Remarks Below
<b>Remarks</b> Express Mail Cert. No. EV 783 702 431 US; Transmittal Form; Statement Under 37 CFR 3.73(b) (8 pgs.) and Return Postcard		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Nelson Mullins Riley & Scarborough LLP		
Signature	<i>Goran P. Stojkovich</i>		
Printed name	Goran P. Stojkovich		
Date	01/20/2006	Reg. No.	45,841

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (04-05)

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**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/759,593
Filing Date	01/16/2004
First Named Inventor	CAMPBELL, Kevin
Art Unit	3634
Examiner Name	Mah, Chuck Y.
Attorney Docket Number	49942-296293

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 27530

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

27530

OR

☐ Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Signature

Name

Julie A. Gilman

Date

9-8-05

Telephone

541.882.3451

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## EXPRESS MAIL CERTIFICATE

"Express Mail" Label No. : EV 783 702 431 US

Application No. : 10/759,593

Applicant(s) : CAMPBELL, Kevin

Filing Date : 01/16/2004

Title: : METHODS AND SYSTEMS FOR SLIDING  
WINDOWS AND DOORS

Examiner : MAH, Chuck Y

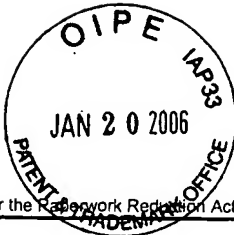
Group Art Unit : 3634

Type of Document(s) : Express Mail Certificate;  
Transmittal Form;  
Revocation of Power of Attorney With  
New Power of Attorney and  
Change of Correspondence Address;  
Statement Under 37 CFR 3.73(b) (8 pgs.); and  
Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and are addressed to, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

Carol McCabe (signature)  
Carol McCabe

*Date Mailed: January 20, 2006*



Express Mail Cert. No. EV 783 702 431 US

PTO/SB/96 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: CAMPBELL, Kevin et al.

Application No./Patent No.: 10/759,593 Filed/Issue Date: 01/16/2004

Entitled: Methods And Systems For Sliding Windows and Doors

JELD-WEN, inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015495, Frame 0838, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Julie A. Gilman  
Signature

9-8-05  
Date

Julie A. Gilman

Printed or Typed Name

541.882.3451

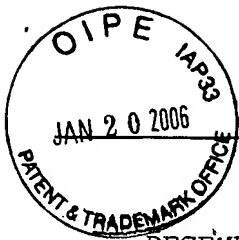
Telephone Number

Senior Corporate Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 29, 2004

PTAS



\*102778328A\*

KILPATRICK STOCKTON LLP  
CYNTHIA B. ROTHSCHILD, ESQ.  
1001 WEST FOURTH STREET  
WINSTON-SALEM, NC 27101

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/21/2004

REEL/FRAME: 015495/0838  
NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

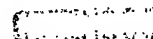
ASSIGNOR:  
CAMPBELL, KEVIN

DOC DATE: 06/04/2004

ASSIGNOR:  
HETHERINGTON, RICHARD WARD

DOC DATE: 06/07/2004

ASSIGNEE:  
JELD-WEN, INC.  
3250 LAKEPORT BOULEVARD  
P.O. BOX 1329  
KLAMATH FALLS, OREGON 97601



SERIAL NUMBER: 10759593

FILING DATE: 01/16/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHODS AND SYSTEMS FOR SLIDING WINDOWS AND DOORS

015495/0838 PAGE 2

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

JUN 21 2004

06-28-2004

U.S. DEPARTMENT OF COMMERCE  
I.S. Patent and Trademark Office

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (E-TRADE 03/2005)

RECORDATION

P



102778328

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Kevin Campbell - 06/04/2004

Richard Ward Hetherington - 06/07/2004

## 2. Name and address of receiving party(ies)

Name: JELD-WEN, inc.

Internal Address:

Street Address: 3250 Lakeport Boulevard

P.O. Box 1329

City: Klamath Falls State: OR Zip: 97601

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

Execution Date: See Above

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/759,593

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Cynthia B. Rothschild, Esq.

Internal Address:

Street Address: Kilpatrick Stockton LLP

1001 West Fourth Street

City: Winston-Salem State: NC Zip: 27101

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41) . . . . . \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

16-1435

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cynthia B. Rothschild

Name of Person Signing

Signature

June 21, 2004

Date

06/25/2004 ECDPER 00000055 10759593

01 FC:8021

40.00 OP

**PATENT**  
Serial No. 10/759,593  
Filing Date: January 16, 2004

Title: Methods and Systems for  
Sliding Windows and Doors

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☒ U.S. Patent  
For: ☒ PCT Application

**ASSIGNMENT OF INVENTION BY MULTIPLE INVENTORS**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuation consideration,

**ASSIGNOR (Inventors):**

Kevin Campbell  
Name of Inventor/Assignor

4901 Gracile Court

Klamath Falls, OR 97603  
Address

USA  
Nationality

Richard Ward Hetherington  
Name of Inventor/Assignor

3042 NW Colonial Drive

Bend, OR 97701  
Address

USA  
Nationality

hereby sells, assigns, and transfers to

**ASSIGNEE:**

JELD-WEN, inc.  
Name of Assignee

3250 Lakeport Blvd., P.O. Box 1329

Klamath Falls, OR 97601-0268  
Address

an Oregon corporation  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title, and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority in and to any all improvements which are disclosed in the invention entitled:



**PATENT**  
Serial No. 10/759,593  
Filing Date: January 16, 2004

Title: Methods and Systems for  
Sliding Windows and Doors

**METHODS AND SYSTEMS FOR SLIDING WINDOWS AND DOORS**

and which is found in U.S. Patent Application 10/759,593, filed January 16, 2004, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue, and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

**PATENT**

Serial No. 10/759,593

Filing Date: January 16, 2004

Title: Methods and Systems for  
Sliding Windows and Doors

IN WITNESS WHEREOF, we have hereunto set our hands and seals,

Kevin Campbell

Name of Inventor

[Signature]

Signature of Inventor

6/4/04

Date

**NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT**

*NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.*

Details of Country

and place of signing of assignment

STATE OF OREGON  
COUNTY OF Klamath

Before me this 4<sup>th</sup> day of JUNE, 2004, Kevin Campbell personally appeared the above named individual, to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☒ he

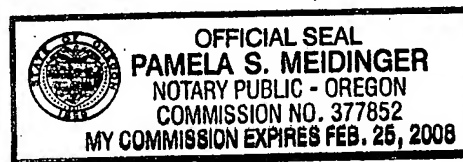
☐ she

executed the same of ☒ his ☐ her

own free will for the purpose therein expressed.

[Signature]

Notary Public or Consular Officer of the United  
States of America



**PATENT**  
Serial No. 10/759,593  
Filing Date: January 16, 2004

Title: Methods and Systems for  
Sliding Windows and Doors

Richard Ward Hetherington  
Name of Inventor

Richard W Hetherington  
Signature of Inventor  
June 7, 2004  
Date

**NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT**

*NOTE: Executing this page is not required for assignment and is only prima facie evidence of execution. 35 USC 261.*

Details of Country  
and place of signing of assignment

\_\_\_\_\_  
\_\_\_\_\_  
Before me this \_\_\_\_ day of \_\_\_\_\_, 2004, Richard Ward Hetherington personally appeared the above named individual, , to me known to be the person who is described in and who executed the foregoing assignment instrument and acknowledged to me that

☐ he ☐ she  
executed the same of ☐ his ☐ her

own free will for the purpose therein expressed.

\_\_\_\_\_  
Notary Public or Consular Officer of the United  
States of America

49942-296293  
WINLIB01:1073914.1